

ATTORNEY DOCKET NO. 07470/030001

Applicant or Patentee: Martin Serrano  
 Serial or Patent No.: 09/229,849  
 Filed or Issued: 1/13/99  
 For: PARALLELIZING APPLICATIONS OF SCRIPT-DRIVEN TOOLS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
 (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: Ab Initio Software Corporation

Address of Small Business Concern: 201 Spring Street, Lexington, MA 02421

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled PARALLELIZING APPLICATIONS OF SCRIPT-DRIVEN TOOLS by inventor(s) MARTIN SERRANO described in

- ☐ the specification filed herewith.  
☒ application serial no. 09/229,849, filed 1/13/99.  
☐ patent no. , issued .

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Full Name: \_\_\_\_\_

Address: \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status when any new rule 53 application is filed or prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent on which this verified statement is directed.

Name: SHERYL HANDLER

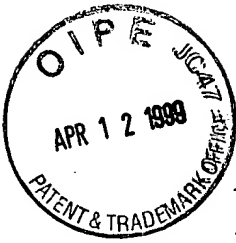
Title: PRESIDENT

Address: 201 Spring Street, Lexington, MA 02421

Signature: [Signature]

Date: 4/2/99

PATENT  
ATTORNEY DOCKET NO: 07470/030001



### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled PARALLELIZING APPLICATIONS OF SCRIPT-DRIVEN TOOLS, the specification of which

☐ is attached hereto.

☒ was filed on 1/13/99 as Application Serial No. 09/229,849.

☐ was described and claimed in PCT International Application No. \_\_\_\_\_  
filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: John Land, Reg. No. 29,554, William E. Booth, Reg. No. 28,933; John D. Cowart, Reg. 38,415; John W. Freeman, Reg. No. 29,066; Timothy A. French, Reg. No. 30,175; Alan H. Gordon, Reg. No. 26,168; Scott C. Harris, Reg. No. 32,030; Christopher S. Marchese, Reg. No. 37,177; John B. Pegram, Reg. No. 25,198; Rene D. Tegtmeyer, Reg. No. 33,567; Hans R. Troesch, Reg. No. 36,950; John R. Wetherell, Jr., Reg. No. 31,678; Dorothy P. Whelan, Reg. No. 33,814; Charles C. Winchester, Reg. No. 21,040.

Address all telephone calls to John Land at telephone number 619/678-5070.

Address all correspondence to John Land, Fish & Richardson P.C., 4225 Executive Square, Suite 1400, La Jolla, CA 92037.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: MARTIN SERRANO

Inventor's Signature: \_\_\_\_\_

Date: 4-2-99

City, State: LEXINGTON, MA

Citizen of: U.S.

Post Office Address: 201 SPRING ST.

87167, L1

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/229,849	01/13/99	2772	\$0.00	07470/30001	29	32	4

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LAJOLLA CA 92037

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

MARTIN SERRANO

LEXINGTON, MASSACHUSETTS

FOREIGN FILING LICENSE GRANTED 02/04/99  
TITLE  
PARALLELIZING APPLICATIONS OF SCRIPT-DRIVEN TOOLS  
PRELIMINARY CLASS: 345

SMALL  
ENTITY

Docketed By Practice Systems	
Missing inventors	
addresses and small	
entity status	
DUE: 3/8/99	
Initials:	AMS
Record:	

DATA ENTRY BY: WYATT, DEBRA

TEAM: 06 DATE: 02/04/99

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### *Conclusion*

ORCHESTRATOR makes it possible for SAS users to exploit the performance potential of SMP or MPP parallel computers and parallel relational databases without leaving the familiar SAS programming environment. Using ORCHESTRATOR, SAS users can achieve spectacular levels of scalable performance, making it possible to address much larger volumes of data to solve a range of critical business problems.